

AML/KYC Policy

This AML Policy describes how Finteria Markets LLC (“Company”) ensures anti-money laundering (“AML”) and Know-Your-Client (“KYC”) requirements in its business. The terms used in this Policy have the same meaning as in the Client Agreement. This Policy is an integral part of the Client Agreement.

Finteria Markets LLC maintains high standards in AML/KYC requirements and is doing its best in preventing any activities that are aimed at or are facilitating decriminalization of any funds of illegal origin.

To detect, prevent and alarm about any transactions aimed at transforming the proceeds from illegal activity into another kind of money facilities which are deemed legal, the Company has established the following procedures:

Funding and Withdrawal Transactions Monitoring

All Client’s Transactions for money funding and withdrawal from their personal Trading Account shall comply with the following requirements:

- Whenever money is transferred by a bank wire or from a plastic card, the name specified at the time of the Client’s account registration shall match the name of the bank account/plastic card owner;
- Whenever the account is funded by a payment method not acceptable for money withdrawal, the money shall be withdrawn to the Client’s bank account, or by another method agreed with the third party allowing to reliably identify the account owner;
- Whenever the account is funded by various payment methods, the money shall be withdrawn by the same methods in proportion to the deposited amounts.

Account Opening and Client Identification

In order to prevent the Company’s services being used by criminals for the purpose of money laundering, terrorism financing, or some other criminal activity, Finteria Markets LLC hereby undertakes:

- To request the relevant identification documents for the purpose of Client identification;
- To assess the risk of whether the Client is engaged in money laundering or terrorism financing;
- To check if the Client’s country is among the countries* which, according to Financial Action Task Force (“FATF”), fail to comply with the requirements in prevention of money laundering and terrorism financing;
- To re-identify the Client, should any doubts arise concerning validity of the information obtained during the primary identification;
- To avoid having any business relations with unidentified Clients, Clients residing in FATF high-risk countries, and Clients residing in the United States, Canada, and Japan.

The Company reserves the right to collect Client’s additional identification information for the purpose of this AML/KYC Policy.

*Countries which, according to FATF, fail to comply with the requirements in prevention of money laundering and terrorism financing. The list of such countries is available at <http://www.fatf-gafi.org/countries/#high-risk>